

## 6<sup>th</sup> Workshop on Uncertainty Processing WUPES'2003

**Hejnice, Czech Republic  
24 - 27th September, 2003**

[\[Topics\]](#) ] [\[Programme committee\]](#) ] [\[Important Dates\]](#) ] [\[Venue\]](#) ] [\[Location\]](#) ]  
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A series of Workshops on Uncertainty Processing (WUPES) was held in the Czech Republic in 1988, 1991, 1994, 1997, and 2000. Like the previous meetings the forthcoming Workshop will foster creative intellectual activities and the exchange of ideas in an informal atmosphere. Therefore we will keep the number of participants limited (about 40).

### Topics

Contributions belonging to the various fields of uncertainty processing are invited. Typical examples are:

- probabilistic modelling (conditional independence models, graphical models, Bayesian networks, models based on coherence principles),
- logical and algebraic modelling (including fuzzy approaches),
- possibilistic approaches,
- models based on belief functions,
- representative applications.

The working character of the meeting is stressed by the fact that we also welcome papers casting new problems and inspiring discussion as well as contributions presenting promising but as yet not finished results.

### Programme committee

Didier Dubois	(France)
Petr Hajek	(Czech Republic)
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Peter Naeve	(Germany)
Romano Scozzafava	(Italy)
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### Important Dates

15th May 2003	submission of extended abstracts (two pages) by e-mail to <a href="mailto:vejnar@vse.cz">vejnar@vse.cz</a>
15th June 2003	author notification of accepted papers
31st July 2003	camera-ready copy of accepted papers

### Venue

The conference will be held in Hejnice - a small town situated in a beautiful natural setting. Ridges of the Jizera Mountains border the baroque cathedral of Visitation of our Lady. The workshop will take place in the ancient Franciscan cloister, where the Litomerice bishopric has created the International Center for Spiritual Rehabilitation. For more information on Hejnice visit the home page of [the International Center for Spiritual Rehabilitation in Hejnice](#) .



### Conference fee

Conference fee (including registration, accommodation and

full boarding) is 280 EUR (7840 CZK). It should be payed (preferably) by a bank transfer to:

Czech Society for Cybernetics and Informatics  
Pod vodarenskou vezi 2  
182 00 Praha 8  
Czech Republic

Account number: 0208673319 / 0800

Reference: you must indicate your first name, surname and WUPES'03 as payment reference.  
Czech participants should add "konstantni symbol: 0308" and "variabilni symbol: 230903"

Another possibility is to pay cash on site.

### **Student grants**

Thanks to the support of [EUNITE](#), five students are offered to attend the workshop "free of charge". Do not hesitate to contact us at [vejnar@vse.cz](mailto:vejnar@vse.cz).

### **Paper preparation**

The PDF version of full papers (recommmeded length is 8 - 12 pages) should be submitted by e-mail to [vejnar@vse.cz](mailto:vejnar@vse.cz) before August 1. When preparing your contribution, please, follow [the instructions for the authors](#). We recommend you to use our [LaTeX template](#). See files [6wupes.pdf](#) and [6wupes.tex](#).

### **Information request**

If you would like to be informed about the workshop, please, send an e-mail to [vejnar@vse.cz](mailto:vejnar@vse.cz).

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# LOGICAL CONDITIONS FOR COHERENT QUALITATIVE AND NUMERICAL PROBABILITY ASSESSMENTS

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## Abstract

In this paper, exploiting suitable logical conditions, we study the generalized coherence of interval-valued probability assessments and the coherence of qualitative probabilities defined on finite families of conditional events. In the numerical case, the logical conditions ensure the solvability of suitable linear systems used in the algorithm for the checking of generalized coherence. In the qualitative case, the logical conditions ensure the existence of a precise probability agreeing with the qualitative ordering.

## 1 Introduction

In many applications of Artificial Intelligence we need to reason with uncertain information under vague or partial knowledge. In these cases a probabilistic treatment of uncertainty based on precise probabilistic assessments is quite unrealistic. Then, a more flexible approach can be based on qualitative and/or imprecise probabilities, using suitable generalizations of the coherence principle of de Finetti, or similar principles adopted for lower and upper probabilities. Results based on such approach have been obtained in many papers (see, e.g., [1], [2], [3], [4], [5], [6], [7], [8], [9], [11], [12]).

In this paper we study lower-upper probability bounds and qualitative probabilities on finite families of conditional events. To check the consistency of conditional probability bounds we adopt a notion of generalized coherence (*g-coherence*), which is based on the coherence principle of de Finetti and is equivalent to the property of “avoiding uniform loss” given in [14]. We examine

some logical conditions which allow to reduce the checking of g-coherence of upper and interval-valued conditional probability assessments to suitable sub-families of the initial family of conditional events. Such logical conditions ensure the solvability of suitable linear systems used in the algorithm for checking g-coherence. We also consider the case of qualitative probabilities and we obtain some theoretical results which allow to represent the qualitative assessments by means of coherent precise probabilities, when some logical conditions are satisfied. We illustrate the theoretical results by some examples. Notice that similar results have been obtained in [3], [4], [5], [6], [9], [10]. The paper is organized as follows. In Section 2 we recall some preliminary notions and results. In Section 3 we give some results on the g-coherence of upper and interval-valued conditional probability bounds. In Section 4 we give some results on the coherence of qualitative probability assessments. Finally, in Section 5 we give some conclusions.

## 2 Preliminaries

We recall some notions and results on the coherence of precise and imprecise probability assessments. For each integer  $n$ , we set  $J_n = \{1, 2, \dots, n\}$ . Given a precise probability assessment  $\mathcal{P}_n = (p_j, j \in J_n)$  on a family of conditional events  $\mathcal{F}_n = \{E_j | H_j, j \in J_n\}$ , let  $C_1, \dots, C_m$  be the constituents, contained in  $\mathcal{H}_n = \bigvee_{j=1}^n H_j$ , which are obtained by expanding the expression

$$(E_1 H_1 \vee E_1^c H_1 \vee H_1^c) \wedge \dots \wedge (E_n H_n \vee E_n^c H_n \vee H_n^c). \quad (1)$$

Let  $\mathcal{S}$  be the following system

$$\begin{cases} \sum_{r: C_r \subseteq E_i H_i} \lambda_r = p_i \sum_{r: C_r \subseteq H_i} \lambda_r, & i \in J_n, \\ \sum_{r \in J_m} \lambda_r = 1, & \lambda_r \geq 0, & r \in J_m. \end{cases} \quad (2)$$

We denote respectively by  $\Lambda = (\lambda_r, r \in J_m)$  and  $\mathcal{S}$  the vector of unknowns and the set of solutions of the system (2) and, for each  $j \in J_n$ , we define  $\Phi_j(\Lambda) = \sum_{r: C_r \subseteq H_j} \lambda_r$ . Moreover, we define

$$I_0 = \{j \in J_n : \text{Max}_{\Lambda \in \mathcal{S}} \Phi_j(\Lambda) = 0\}. \quad (3)$$

Notice that  $I_0$  is a (strict) subset of  $J_n$  and coincides with the set of subscripts such that, for each  $j \in I_0$ , the conditioning event  $H_j$  has 0 probability. Denoting by  $\mathcal{P}_0$  the sub-assessment associated with the set  $I_0$ , we have

**Theorem 1.** The assessment  $\mathcal{P}_n$  on  $\mathcal{F}_n$  is coherent if and only if the following conditions are verified:

1. The system (2) is solvable;
2. if  $I_0 \neq \emptyset$ , then  $\mathcal{P}_0$  is coherent.

Given an interval-valued probability assessment  $X_n = ([l_i, u_i], i \in J_n)$  on a family  $\mathcal{F}_n$ , we use the following definition of generalized coherence (g-coherence) ([1], [12]).

**Definition 1.** An interval-valued probability assessment  $X_n = ([l_i, u_i], i \in J_n)$ , defined on a family of  $n$  conditional events  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$ , is g-coherent if there exists a coherent precise probability assessment  $\mathcal{P}_n = (p_i, i \in J_n)$  on  $\mathcal{F}_n$ , with  $p_i = P(E_i|H_i)$ , which is consistent with  $X_n$ , that is such that  $l_i \leq p_i \leq u_i$  for each  $i \in J_n$ .

Generalizing the system (2) to the case of interval-valued assessments, we obtain the following system  $\mathcal{S}$

$$\begin{cases} \sum_{r: C_r \subseteq E_i H_i} \lambda_r \geq l_i \sum_{r: C_r \subseteq H_i} \lambda_r, & i \in J_n, \\ \sum_{r: C_r \subseteq E_i H_i} \lambda_r \leq u_i \sum_{r: C_r \subseteq H_i} \lambda_r, & i \in J_n, \\ \sum_{r \in J_m} \lambda_r = 1, & \lambda_r \geq 0, \quad r \in J_m. \end{cases} \quad (4)$$

Then, defining the set  $I_0$  as in (3), Theorem 1 can be generalized to the case of interval-valued assessments in the following way.

**Theorem 2.** The assessment  $X_n$  on  $\mathcal{F}_n$  is g-coherent if and only if the following conditions are verified:

1. The system (4) is solvable;
2. if  $I_0 \neq \emptyset$ , then  $X_0$  is g-coherent.

Thus, in order to check the g-coherence of  $X_n$  we have to study the solvability of the system  $\mathcal{S}$ . If such system is not solvable, then  $X_n$  is not g-coherent; otherwise, we have to compute the set  $I_0$ . We denote by  $(\mathcal{F}_0, X_0)$  the pair associated with  $I_0$  and we observe that  $\mathcal{F}_0$  is a strict sub-family of  $\mathcal{F}_n$  and  $X_0$  is a strict sub-vector of  $X_n$ . Then, we replace the pair  $(\mathcal{F}_n, X_n)$  by  $(\mathcal{F}_0, X_0)$  and we check the solvability of the (new) system  $\mathcal{S}$ . By repeating a finite number of times such steps, one of the following conditions is verified: (i)  $\mathcal{S}$  is not solvable, which means that  $X_n$  is not g-coherent; (ii)  $\mathcal{S}$  is solvable and  $I_0 = \emptyset$ , which means that  $X_n$  is g-coherent.

## 2.1 Qualitative conditional assessments

Given a permutation  $(i_1, \dots, i_n)$  of  $(1, \dots, n)$ , we denote by  $\mathcal{O}_n$  the following ordering or qualitative probability, on the family  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$ ,

$$P(E_{i_1}|H_{i_1}) \leq P(E_{i_2}|H_{i_2}) \leq \dots \leq P(E_{i_n}|H_{i_n}), \quad E_{i_k}|H_{i_k} \in \mathcal{F}_n. \quad (5)$$

**Definition 2.** A qualitative assessment  $\mathcal{O}_n$  on a family  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$  is coherent if there exists a coherent precise assessment  $\mathcal{P}_n = (p_i, i \in J_n)$  on  $\mathcal{F}_n$  agreeing with  $\mathcal{O}_n$ , that is such that  $p_{i_1} \leq p_{i_2} \leq \dots \leq p_{i_n}$ .

If  $\mathcal{P}_n$  is agreeing with  $\mathcal{O}_n$ , we also say that  $\mathcal{P}_n$  represents the ordering  $\mathcal{O}_n$ .

**Remark 1.** From Definition 2, it follows that the qualitative assessment  $\mathcal{O}_n$  is coherent if and only if there exists a g-coherent interval-valued assessment  $X_n = ([l_i, u_i], i \in J_n)$  on  $\mathcal{F}_n$ , such that

$$u_{i_j} \leq l_{i_{j+1}}, \quad j \in J_{n-1}.$$

## 2.2 Lower bounds

Let us consider the case in which a vector of lower bounds  $\mathcal{A}_n = (l_i, i \in J_n)$  is defined on  $\mathcal{F}_n$ . For each constituent  $C_r, r \in J_m$ , we introduce a vector  $V_r = (v_{r1}, \dots, v_{rn})$ , where for each  $i \in J_n$  it is respectively  $v_{ri} = 1$ , or  $v_{ri} = 0$ , or  $v_{ri} = l_i$ , according to whether  $C_r \subseteq E_i H_i$ , or  $C_r \subseteq E_i^c H_i$ , or  $C_r \subseteq H_i^c$ .

Let  $\mathcal{V} = \{V_r, r \in J_m\}$  be the set of vectors associated with the set of constituents  $\mathcal{C} = \{C_r, r \in J_m\}$ . With each  $V_r \in \mathcal{V}, r \in J_m$ , we associate the sets

$$M_r = \{i \in J_n : v_{ri} = 0\}, \quad N_r = \{i \in J_n : C_r \subseteq H_i^c\}. \quad (6)$$

Of course,  $M_r \subseteq J_n$ , while  $N_r \subset J_n$ . Then, introducing the set

$$\mathcal{I} = \{(h, k) : h = 0, \dots, n-1; k = 1, \dots, n; h+k \leq n\}, \quad (7)$$

for each  $(h, k) \in \mathcal{I}$  we define

$$\mathcal{U}_{h,k} = \{V_r \in \mathcal{V} : |N_r| = h, |M_r| = k\}. \quad (8)$$

Moreover, we define

$$\mathcal{W} = \{V_r \in \mathcal{V} : M_r = \emptyset\} \quad (9)$$

and

$$\mathcal{V}_h = \{V_r \in \mathcal{W} : |N_r| = h\}, \quad h = 0, 1, \dots, n-1. \quad (10)$$

We observe that, if the sets  $\mathcal{U}_{h,0}$  were defined, then we would have  $\mathcal{V}_h = \mathcal{U}_{h,0}$ . We have

$$\mathcal{V} = \mathcal{W} \cup \left( \bigcup_{(h,k) \in \mathcal{I}} \mathcal{U}_{h,k} \right) = \left( \bigcup_{h=0}^{n-1} \mathcal{V}_h \right) \cup \left( \bigcup_{(h,k) \in \mathcal{I}} \mathcal{U}_{h,k} \right). \quad (11)$$

Given a vector of lower bounds  $\mathcal{A}_n = (l_i, i \in J_n)$  on  $\mathcal{F}_n = \{E_i | H_i, i \in J_n\}$ , with  $\mathcal{A}_n$  we associate the random gain  $G_n = \sum_{i \in J_n} s_i H_i (E_i - l_i)$ , with  $s_i \geq 0, \forall i \in J_n$ . We denote by  $\mathcal{G} = \{g_j\}_{j \in J_m}$  the set of possible values of  $G_n | \mathcal{H}_n$ .

**Definition 3.** A set  $\mathcal{T} \subset J_m$  is said a *basic set* if the following property holds: *Basic Property.* For every  $r \in J_m \setminus \mathcal{T}$  there exists a set  $T_r \subseteq \mathcal{T}$  such that the following condition is satisfied.

$$\text{Max } \{g_j\}_{j \in T_r} < 0 \implies g_r < 0. \quad (12)$$

Notice that the basic sets are useful to reduce the number of unknowns in the linear systems used in the checking of g-coherence ([2], [3]).

We recall some results obtained in [4], which are exploited in next section where analogous results are given for upper probability bounds.

**Theorem 3.** If  $\mathcal{V}_0 = \mathcal{V}_1 = \dots = \mathcal{V}_{n-1} = \emptyset$  and  $l_1 + \dots + l_n > n - 1$ , then  $\mathcal{A}_n$  is not g-coherent.

**Theorem 4.** If  $\mathcal{V}_0 = \dots = \mathcal{V}_{n-1} = \emptyset, |\mathcal{U}_{0,1}| = n, 0 < l_i < 1 \forall i$ , then one has:

- there exists a basic set  $\mathcal{T}$ , with  $|\mathcal{T}| = n$ ;
- $\mathcal{A}_n$  is g-coherent iff  $l_1 + \dots + l_n \leq n - 1$ .



Defining

$$\mathcal{Z} = \{(h, k) : h + k = n - 1, h > 0\} \cup \{(h, k) : h + k < n - 1\},$$

we have

**Theorem 5.** If  $\mathcal{V}_0 = \dots = \mathcal{V}_{n-1} = \emptyset$ ,  $\mathcal{U}_{h,k} = \emptyset$  for each  $(h, k) \in \mathcal{Z}$ , and  $l_1 + \dots + l_n > 1$ , then  $\mathcal{A}_n$  is not g-coherent.

**Theorem 6.** If  $\mathcal{V}_0 = \dots = \mathcal{V}_{n-1} = \emptyset$ ,  $\mathcal{U}_{h,k} = \emptyset$ , for each pair  $(h, k) \in \mathcal{Z}$ ,  $|\mathcal{U}_{0,n-1}| = n$ ,  $0 < l_i < 1 \forall i$ , then one has:

- a) if, for every  $j \in J_n$ , it is  $\sum_{i \in J_n \setminus \{j\}} l_i \leq 1$ , then  $\mathcal{T} = J_n$  is a basic set;  
 b)  $\mathcal{A}_n$  is g-coherent iff  $l_1 + \dots + l_n \leq 1$ .

### 3 Some results on conditional probability bounds

In this section we give some results on the g-coherence of upper and interval-valued probability assessments.

#### 3.1 Upper probability assessments

Let  $\mathcal{B}_n = (u_i, i \in J_n)$  be a vector of upper probability bounds defined on  $\mathcal{F}_n = \{E_i | H_i, i \in J_n\}$ . For each constituent  $C_r, r \in J_m$ , we introduce a vector  $W_r = (w_{r1}, \dots, w_{rn})$ , where for each  $i \in J_n$  it is respectively  $w_{ri} = 1$ , or  $w_{ri} = 0$ , or  $w_{ri} = u_i$ , according to whether  $C_r \subseteq E_i H_i$ , or  $C_r \subseteq E_i^c H_i$ , or  $C_r \subseteq H_i^c$ . Given the pair  $(\mathcal{F}_n, \mathcal{B}_n)$ , we construct the set  $\mathcal{V}^{\mathcal{B}} = \{W_r, r \in J_m\}$  of the vectors associated with the set of constituents  $\mathcal{C} = \{C_r, r \in J_m\}$ . Then, with each  $W_r \in \mathcal{V}^{\mathcal{B}}$  we associate the sets

$$M_r^{\mathcal{B}} = \{i \in J_n : w_{ri} = 1\}, \quad N_r^{\mathcal{B}} = \{i \in J_n : C_r \subseteq H_i^c\}. \quad (13)$$

Of course,  $M_r^{\mathcal{B}} \subseteq J_n$ , while  $N_r^{\mathcal{B}} \subset J_n$ . Then, recalling (7), for each  $(h, k) \in \mathcal{I}$  we define

$$\mathcal{U}_{h,k}^{\mathcal{B}} = \{W_r \in \mathcal{V}^{\mathcal{B}} : |N_r^{\mathcal{B}}| = h, |M_r^{\mathcal{B}}| = k\}. \quad (14)$$

Notice that  $N_r^{\mathcal{B}} = N_r$  and  $|M_r^{\mathcal{B}}| + |M_r| = n - |N_r|$ . Then:  $\mathcal{U}_{h,k}^{\mathcal{B}} = \mathcal{U}_{h,n-h-k}$ . We define

$$\mathcal{W}^{\mathcal{B}} = \{W_r \in \mathcal{V}^{\mathcal{B}} : M_r^{\mathcal{B}} = \emptyset\} \quad (15)$$

and

$$\mathcal{V}_h^{\mathcal{B}} = \{W_r \in \mathcal{W}^{\mathcal{B}} : |N_r^{\mathcal{B}}| = h\}, \quad h = 0, 1, \dots, n - 1. \quad (16)$$

We observe that, if the sets  $\mathcal{U}_{h,0}^{\mathcal{B}}$  were defined, then we would have  $\mathcal{V}_h^{\mathcal{B}} = \mathcal{U}_{h,0}^{\mathcal{B}}$ . We have

$$\mathcal{V}^{\mathcal{B}} = \mathcal{W}^{\mathcal{B}} \cup \left( \bigcup_{(h,k) \in \mathcal{I}} \mathcal{U}_{h,k}^{\mathcal{B}} \right) = \left( \bigcup_{h=0}^{n-1} \mathcal{V}_h^{\mathcal{B}} \right) \cup \left( \bigcup_{(h,k) \in \mathcal{I}} \mathcal{U}_{h,k}^{\mathcal{B}} \right). \quad (17)$$

Notice that the assessment  $P(E|H) \leq u$  amounts to  $P(E^c|H) \geq 1 - u$ . Then, a vector of upper probability bounds  $\mathcal{B}_n = (u_i, i \in J_n)$  on  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$  is equivalent to the vector of lower bounds  $\mathcal{A}_n = \mathcal{B}_n^c$ , where  $\mathcal{B}_n^c = (1 - u_i, i \in J_n)$ , on  $\mathcal{F}_n^c = \{E_1^c|H_i, i \in J_n\}$ . In other words, the g-coherence checking problems associated, respectively, with the pairs  $(\mathcal{F}_n, \mathcal{B}_n)$  and  $(\mathcal{F}_n^c, \mathcal{B}_n^c)$  coincide. Then, given a vector of upper bounds  $\mathcal{B}_n$  on  $\mathcal{F}_n$ , we have

**Theorem 7.** If  $\mathcal{V}_0^{\mathcal{B}} = \mathcal{V}_1^{\mathcal{B}} = \dots = \mathcal{V}_{n-1}^{\mathcal{B}} = \emptyset$  and  $u_1 + \dots + u_n < 1$ , then  $\mathcal{B}_n$  is not g-coherent.

*Proof.* We replace the pair  $(\mathcal{F}_n, \mathcal{B}_n)$  by the pair  $(\mathcal{F}_n^c, \mathcal{B}_n^c)$ . Hence, to each vector  $W_r = (w_{r1}, \dots, w_{rn})$  there corresponds, for the pair  $(\mathcal{F}_n^c, \mathcal{B}_n^c)$ , a vector  $V_r = (v_{r1}, \dots, v_{rn}) = (1 - w_{r1}, \dots, 1 - w_{rn})$ . The conditions

$$\mathcal{V}_0^{\mathcal{B}} = \mathcal{V}_1^{\mathcal{B}} = \dots = \mathcal{V}_{n-1}^{\mathcal{B}} = \emptyset, \quad u_1 + \dots + u_n < 1,$$

relative to  $(\mathcal{F}_n, \mathcal{B}_n)$ , become

$$\mathcal{V}_0 = \mathcal{V}_1 = \dots = \mathcal{V}_{n-1} = \emptyset, \quad 1 - u_1 + \dots + 1 - u_n > n - 1,$$

for the pair  $(\mathcal{F}_n^c, \mathcal{B}_n^c)$ . Then, by Theorem 3, the vector of lower bounds  $\mathcal{B}_n^c$  on  $\mathcal{F}_n^c$ , i.e. the vector of upper bounds  $\mathcal{B}_n$  on  $\mathcal{F}_n$ , is not g-coherent.  $\square$

By a similar reasoning we obtain the following results.

**Theorem 8.** If  $\mathcal{V}_0^{\mathcal{B}} = \dots = \mathcal{V}_{n-1}^{\mathcal{B}} = \emptyset$ ,  $|\mathcal{U}_{0,1}^{\mathcal{B}}| = n$ ,  $0 < u_i < 1 \forall i$ , then one has:  
a) there exists a basic set  $\mathcal{T}$ , with  $|\mathcal{T}| = n$ ;  
b)  $\mathcal{B}_n$  is g-coherent iff  $u_1 + \dots + u_n \geq 1$ .

**Theorem 9.** If  $\mathcal{V}_0^{\mathcal{B}} = \dots = \mathcal{V}_{n-1}^{\mathcal{B}} = \emptyset$ ,  $\mathcal{U}_{h,k}^{\mathcal{B}} = \emptyset$  for each  $(h, k) \in \mathcal{Z}$ , and  $u_1 + \dots + u_n < n - 1$ , then  $\mathcal{B}_n$  is not g-coherent.

**Theorem 10.** If  $\mathcal{V}_0 = \dots = \mathcal{V}_{n-1} = \emptyset$ ,  $\mathcal{U}_{h,k} = \emptyset$ , for each pair  $(h, k) \in \mathcal{Z}$ ,  $|\mathcal{U}_{0,n-1}| = n$ ,  $0 < \alpha_i < 1 \forall i$ , then one has:  
a) if, for every  $j \in J_n$ , it is  $\sum_{i \in J_n \setminus \{j\}} \alpha_i \leq 1$ , then  $\mathcal{T} = J_n$  is a basic set;  
b)  $\mathcal{A}_n$  is g-coherent iff  $\alpha_1 + \dots + \alpha_n \leq 1$ .

### 3.2 Interval-valued probability assessments

Let us consider an interval-valued probability assessments  $X_n = ([l_i, u_i], i \in J_n)$  on a family  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$ . We recall that the constituents  $C_1, \dots, C_m$  are contained in  $\mathcal{H}_n = \bigvee_{j=1}^n H_j$ . We have

**Theorem 11.** Given a non empty subset  $\Gamma_k \subseteq J_n$ , assume that the following conditions are satisfied

1. there exist two constituents  $C_r$  and  $C_s$  such that  $C_r \subseteq E_i H_i, \forall i \in \Gamma_k$ ,  
 $C_r \subseteq H_i^c, \forall i \in J_n \setminus \Gamma_k$ , and  $C_s \subseteq E_i^c H_i, \forall i \in \Gamma_k$ ,  $C_s \subseteq H_i^c, \forall i \in J_n \setminus \Gamma_k$ ;
2.  $Max\{l_i, i \in \Gamma_k\} \leq Min\{u_i, i \in \Gamma_k\}$ .

Then the assessment  $X_n = ([l_i, u_i], i \in J_n)$  on the family  $\mathcal{F}_n$  is g-coherent iff the assessment  $([l_j, u_j], j \in J_n \setminus \Gamma_k)$  on the family  $\mathcal{F}_n \setminus \{E_j | H_j, j \in \Gamma_k\}$  is g-coherent.

*Proof.* In fact, the vector  $\Lambda = (\lambda_1, \dots, \lambda_m)$ , with

$$\text{Max}\{l_i, i \in \Gamma_k\} \leq \lambda_r \leq \text{Min}\{u_i, i \in \Gamma_k\}, \quad \lambda_s = 1 - \lambda_r, \quad \lambda_j = 0 \quad \forall j \in J_n \setminus \{r, s\},$$

is a solution of the system (4), with  $I_0 \subseteq J_n \setminus \Gamma_k$ . Then, the proof follows by Theorem 2.  $\square$

In particular, observing that  $l_i \leq u_i$ , by the previous theorem we obtain

**Corollary 1.** Assume that there exist two constituents  $C_r, C_s$  such that  $C_r \subseteq E_i H_i, C_r \subseteq H_j^c, \forall j \neq i$ , and  $C_s \subseteq E_i^c H_i, C_s \subseteq H_j^c, \forall j \neq i$ . Then, the assessment  $X_n = ([l_i, u_i], i \in J_n)$  on the family  $\mathcal{F}_n$  is g-coherent iff the assessment  $([l_j, u_j], j \in J_n \setminus \{i\})$  on the family  $\mathcal{F}_n \setminus \{E_i | H_i\}$  is g-coherent.

**Theorem 12.** Given a subset  $\Gamma_k = \{r_1, \dots, r_k\} \subseteq J_n$ , assume that the following conditions are satisfied

1. there exist  $k$  constituents  $C_1, \dots, C_k$  such that, for each  $i \in J_k$ , it is  $C_i \subseteq E_{r_i} H_{r_i}, C_i \subseteq E_j^c H_j, \forall j \in \Gamma_k \setminus \{r_i\}$ , and  $C_i \subseteq H_j^c, \forall j \in J_n \setminus \Gamma_k$ ;
2.  $\sum_{i \in \Gamma_k} l_i \leq 1, \sum_{i \in \Gamma_k} u_i \geq 1$ .

Then, the assessment  $X_n = ([l_i, u_i], i \in J_n)$  on the family  $\mathcal{F}_n$  is g-coherent iff the assessment  $([l_j, u_j], j \in J_n \setminus \Gamma_k)$  on the family  $\mathcal{F}_n \setminus \{E_j | H_j, j \in \Gamma_k\}$  is g-coherent.

*Proof.* Defining the quantities  $L_k = \sum_{i \in \Gamma_k} l_i, U_k = \sum_{i \in \Gamma_k} u_i$ , we distinguish two cases:

(i)  $L_k = U_k$ . In this case, it is  $l_i = u_i, \forall i \in \Gamma_k$ , and the vector  $\Lambda = (\lambda_1, \dots, \lambda_m)$ , with

$$\lambda_i = l_i, \quad \forall i \in \Gamma_k, \quad \lambda_i = 0, \quad \forall i \in J_n \setminus \Gamma_k,$$

is a solution of the system (4), with  $I_0 \subseteq J_n \setminus \Gamma_k$ .

(ii)  $U_k > L_k$ . In this case, the vector  $\Lambda = (\lambda_1, \dots, \lambda_m)$ , with

$$\lambda_i = \frac{1 - L_k}{U_k - L_k} u_i + \frac{U_k - 1}{U_k - L_k} l_i, \quad \forall i \in \Gamma_k, \quad \lambda_i = 0, \quad \forall i \in J_n \setminus \Gamma_k,$$

is such that  $l_i \leq \lambda_i \leq u_i, \forall i \in \Gamma_k, \sum_{i \in \Gamma_k} \lambda_i = 1$ . Therefore,  $\Lambda$  is a solution of the system (4), with  $I_0 \subseteq J_n \setminus \Gamma_k$ .

In both cases, the proof follows by Theorem 2.  $\square$

## 4 Some results on qualitative assessments

In this section we give some results on the coherence of qualitative assessments. We start by considering a family of three conditional events. We show that, in such case, under suitable logical conditions every qualitative ordering is coherent. We recall that the relation of inclusion among conditional events ([13]) is defined by

$$A|H \subseteq B|K \iff AH \subseteq BK, \quad B^cK \subseteq A^cH. \quad (18)$$

**Theorem 13.** Given a family  $\mathcal{F}_3 = \{E_1|H_1, E_2|H_2, E_3|H_3\}$ , the ordering  $\mathcal{O}_3$  defined as  $P(E_1|H_1) \leq P(E_2|H_2) \leq P(E_3|H_3)$  is coherent if and only if

$$E_2|H_2 \not\subseteq E_1|H_1, \quad E_3|H_3 \not\subseteq E_2|H_2, \quad E_3|H_3 \not\subseteq E_1|H_1.$$

The proof of the theorem is based on the following observations. By (18), as  $E_2|H_2 \not\subseteq E_1|H_1$ , we have  $E_2H_2 \wedge (E_1H_1)^c \neq \emptyset$ , or  $E_1^cH_1 \wedge (E_2H_2)^c \neq \emptyset$ , that is:  $E_1^cH_1E_2H_2 \vee H_1^cE_2H_2 \neq \emptyset$ , or  $E_1^cH_1E_2H_2 \vee E_1^cH_1H_2^c \neq \emptyset$ .

Hence:

$$E_1^cH_1E_2H_2 \neq \emptyset, \quad \text{or} \quad H_1^cE_2H_2 \neq \emptyset, \quad \text{or} \quad E_1^cH_1H_2^c \neq \emptyset. \quad (19)$$

From  $E_3|H_3 \not\subseteq E_2|H_2$  and  $E_3|H_3 \not\subseteq E_1|H_1$  we obtain, respectively

$$E_2^cH_2E_3H_3 \neq \emptyset, \quad \text{or} \quad H_2^cE_3H_3 \neq \emptyset, \quad \text{or} \quad E_2^cH_2H_3^c \neq \emptyset. \quad (20)$$

$$E_1^cH_1E_3H_3 \neq \emptyset, \quad \text{or} \quad H_1^cE_3H_3 \neq \emptyset, \quad \text{or} \quad E_1^cH_1H_3^c \neq \emptyset. \quad (21)$$

By (19), (20), (21), it can be proved that there exists a coherent precise assessment  $\mathcal{P}_3 = (p_1, p_2, p_3)$  on  $\mathcal{F}_3$ , such that  $p_1 \leq p_2 \leq p_3$ , so that  $\mathcal{O}_3$  is coherent. Considering the case of  $n$  conditional events, we examine some logical conditions which ensure that every qualitative ordering  $\mathcal{O}_n$  is coherent.

**Theorem 14.** Given a family  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$ , assume that there exist  $n$  constituents  $C_1, \dots, C_n$  such that, for each  $r \in J_n$ , it is  $C_r \subseteq E_r^cH_r, C_r \subseteq E_jH_j, \forall j \in J_n \setminus \{r\}$ . Then, for each permutation  $(i_1, \dots, i_n)$ , the ordering  $\mathcal{O}_n$  defined by (5) is coherent.

*Proof.* We have to prove that, for each qualitative assessment  $P(E_{i_1}|H_{i_1}) \leq P(E_{i_2}|H_{i_2}) \leq \dots \leq P(E_{i_n}|H_{i_n})$ , there exists a coherent precise probability assessment  $(p_1, \dots, p_n)$  on  $\mathcal{F}_n$ , with  $p_i = P(E_i|H_i)$ , agreeing with such ordering, i.e. such that  $p_{i_1} \leq p_{i_2} \leq \dots \leq p_{i_n}$ . For each  $r \in J_n$ , with the constituent  $C_r$  we associate the variable  $\lambda_r$ . We first consider the ordering  $P(E_1|H_1) \leq P(E_2|H_2) \leq \dots \leq P(E_n|H_n)$ . Given  $n$  quantities  $q_1, \dots, q_n$ , with  $q_j \geq 0$ ,  $\sum_{j=1}^n q_j = 1$  and  $q_n \leq q_{n-1} \leq \dots \leq q_1$ , let us consider the precise assessment  $\mathcal{P}_n = (p_1, \dots, p_n)$  on  $\mathcal{F}_n$  defined as  $p_i = \sum_{j \neq i} q_j = 1 - q_i$ . Of course,  $p_1 \leq p_2 \leq \dots \leq p_n$ . Moreover, the vector  $\Lambda = (\lambda_1, \dots, \lambda_m)$ , with  $\lambda_i = q_i, \forall i \in J_n, \lambda_i = 0, \forall i \in J_m \setminus J_n$ , is a solution of the system (2) associated with the pair  $(\mathcal{F}_n, \mathcal{P}_n)$ , with  $I_0 = \emptyset$ . Therefore,  $\mathcal{P}_n$  is coherent and the corresponding qualitative assessment is coherent too.

In a similar way, we can prove, for each permutation  $(i_1, \dots, i_n)$ , the coherence of the ordering (5).  $\square$

**Theorem 15.** Given a family of  $n$  conditional events  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$ , assume that there exist  $n$  constituents  $C_1, \dots, C_n$  such that, for each  $r \in J_n$ , it is  $C_r \subseteq E_r H_r, C_r \subseteq E_j^c H_j, \forall j \in J_n \setminus \{r\}$ . Then, for each permutation  $(i_1, \dots, i_n)$ , the ordering  $\mathcal{O}_n$  defined by (5) is coherent.

*Proof.* By the hypotheses, we have that for the family  $\mathcal{F}_n^c = \{E_1^c|H_1, \dots, E_n^c|H_n\}$  there exist  $n$  constituents  $C'_1, \dots, C'_n$  such that, for each  $r \in J_n$ , it is  $C'_r \subseteq E_r^c H_r, C'_r \subseteq E_j H_j, \forall j \in J_n \setminus \{r\}$ . Then, by Theorem 14, for each permutation  $(i_1, \dots, i_n)$  of  $(1, \dots, n)$ , the qualitative assessment  $\mathcal{O}_n^c$  defined as

$$P(E_{i_1}^c|H_{i_1}) \leq P(E_{i_2}^c|H_{i_2}) \leq \dots \leq P(E_{i_n}^c|H_{i_n})$$

is coherent. Of course, the ordering on  $\mathcal{F}_n^c$  associated with the permutation  $(i_n, \dots, i_1)$  is coherent too. Then, the conclusion follows by observing that the coherence of such ordering is equivalent to the coherence of the qualitative assessment  $\mathcal{O}_n$  on  $\mathcal{F}_n$ , associated with the permutation  $(i_1, \dots, i_n)$ .  $\square$

**Theorem 16.** Given a family  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$  and a subscript  $j \in J_n$ , assume that there exist  $n - 1$  constituents  $C_r, r \in J_n \setminus \{j\}$ , such that  $C_r \subseteq E_r^c H_r, C_r \subseteq H_j^c, C_r \subseteq E_i H_i, \forall i \in J_n \setminus \{r, j\}$ . Then, for each permutation  $(i_1, \dots, i_n)$ , the ordering  $\mathcal{O}_n$  defined by (5) is coherent.

*Proof.* We have to prove that, for each ordering  $P(E_{i_1}|H_{i_1}) \leq P(E_{i_2}|H_{i_2}) \leq \dots \leq P(E_{i_n}|H_{i_n})$ , there exists a coherent precise assessment  $(p_1, \dots, p_n)$  agreeing with such ordering. For each  $i \in J_n \setminus \{j\}$ , with the constituent  $C_i$  we associate the unknown  $\lambda_i$ . We first consider the ordering  $P(E_1|H_1) \leq P(E_2|H_2) \leq \dots \leq P(E_n|H_n)$ . Given  $n$  quantities  $q_1, \dots, q_n$ , with  $q_1 \geq \dots \geq q_n, q_k \geq 0, \forall k \in J_n, \sum_{k \in J_n \setminus \{j\}} q_k = 1$ , let us consider the precise assessment  $(p_1, \dots, p_n)$  on  $\mathcal{F}_n$  defined as  $p_i = \sum_{k \in J_n \setminus \{i, j\}} q_k = 1 - q_i$ , for  $i \neq j$ , and with  $p_j$  an arbitrary number such that  $p_{j-1} \leq p_j \leq p_{j+1}$ , where we set  $p_{j-1} = 0$  if  $j = 1$  and  $p_{j+1} = 1$  if  $j = n$ . Of course, it is  $p_1 \leq \dots \leq p_n$ . Moreover, the vector  $\Lambda = (\lambda_1, \dots, \lambda_n)$ , with  $\lambda_j = 0, \lambda_i = q_i, \forall i \in J_n \setminus \{j\}$ , is a solution of the system (2), with  $I_0 \subseteq I'_0 = \{j\}$ . Then, as the assessment  $P(E_j|H_j) = p_j$  is coherent, the assessment  $(p_1, \dots, p_n)$  is a precise coherent assessment on  $\mathcal{F}_n$  agreeing with  $P(E_1|H_1) \leq P(E_2|H_2) \leq \dots \leq P(E_n|H_n)$ .

In a similar way, we can prove, for each permutation  $(i_1, \dots, i_n)$ , the coherence of the ordering (5).  $\square$

**Theorem 17.** Given a family of  $n$  conditional events  $\mathcal{F}_n = \{E_1|H_1, \dots, E_n|H_n\}$  and a subscript  $j \in J_n$ , assume that there exist  $n - 1$  constituents  $C_h, h \in J_n \setminus \{j\}$ , such that  $C_h \subseteq E_h H_h, C_h \subseteq H_j^c, C_h \subseteq E_i^c H_i, \forall i \in J_n \setminus \{h, j\}$ . Then, for each permutation  $(i_1, \dots, i_n)$ , the ordering  $\mathcal{O}_n$  defined by (5) is coherent.

*Proof.* By the hypotheses, we have that for the family  $\mathcal{F}_n^c = \{E_1^c|H_1, \dots, E_n^c|H_n\}$  there exist  $n - 1$  constituents  $C'_r, r \in J_n \setminus \{j\}$ , such that  $C'_r \subseteq E_r^c H_r, C'_r \subseteq H_j^c, C'_r \subseteq E_i H_i, \forall i \in J_n \setminus \{r, j\}$ . Then, by Theorem 16, for each permutation  $(i_1, \dots, i_n)$ , the qualitative assessment  $\mathcal{O}_n^c$  defined as

$$P(E_{i_1}^c|H_{i_1}) \leq P(E_{i_2}^c|H_{i_2}) \leq \dots \leq P(E_{i_n}^c|H_{i_n})$$

is coherent. Of course, the ordering on  $\mathcal{F}_n^c$  associated with the permutation  $(i_n, \dots, i_1)$  is coherent too. Then, the conclusion follows by observing that the coherence of such ordering is equivalent to the coherence of the qualitative assessment  $\mathcal{O}_n$  on  $\mathcal{F}_n$ , associated with the permutation  $(i_1, \dots, i_n)$ .  $\square$

**Example 1.** We will now examine an example which is an application of Theorem 17. Given the family  $\mathcal{F}_3 = \{E_1|H_1, E_2|H_2, E_3|H_3\} = \{A|B, D|B, AB|C\}$ , where the events  $A, B, C, D$  are logically independent, let us consider the qualitative assessment  $P(E_1|H_1) \leq P(E_2|H_2) \leq P(E_3|H_3)$ . We define  $C_1 = ABC^cD^c$ ,  $C_2 = A^cBC^cD$  and with  $C_1$  and  $C_2$  we associate, respectively, the unknowns  $\lambda_1$  and  $\lambda_2$ . Moreover, we set  $\lambda_r = 0, \forall r > 2$ . Given three quantities  $q_1, q_2, q_3$ , with  $q_1 \leq q_2 \leq q_3$  and  $q_1 + q_2 = 1$ , let us consider the precise assessment  $(p_1, p_2, p_3) = (q_1, q_2, q_3)$  on the family  $\mathcal{F}_3$ . In our case, the system (2) becomes

$$\begin{cases} \lambda_1 = p_1(\lambda_1 + \lambda_2), \\ \lambda_2 = p_2(\lambda_1 + \lambda_2), \\ 0 = p_3 \cdot 0, \\ \lambda_1 + \lambda_2 = 1, \quad \lambda_r \geq 0, \quad r = 1, 2, \end{cases} \quad (22)$$

and has the solution  $\lambda_1 = q_1, \lambda_2 = q_2$ , with  $I_0 \subseteq \{3\}$ . Then, as the assessment  $P(AB|C) = p_3$  is coherent,  $(p_1, p_2, p_3)$  is a precise coherent assessment on  $\mathcal{F}_3$  agreeing with the qualitative assessment  $P(E_1|H_1) \leq P(E_2|H_2) \leq P(E_3|H_3)$ .

**Theorem 18.** Given a family  $\mathcal{F}_n = \{E_i|H_i, i \in J_n\}$ , assume that there exist  $n$  constituents  $C_1, \dots, C_n$ , with

$$C_i \subseteq E_i H_i E_{i+1} H_{i+1}, \quad \forall i \in J_{n-1}, \quad C_i \subseteq E_j^c H_j, \quad \forall j \in J_n \setminus \{i, i+1\},$$

and  $C_n \subseteq E_n H_n, C_n \subseteq E_j^c H_j, \forall j \in J_{n-1}$ . Then, for every  $k \in J_n \setminus \{1\}$ , the ordering  $\mathcal{O}_n$  on  $\mathcal{F}_n$ , associated with the permutation  $(1, k, \dots, n, 2, \dots, k-1)$ , is coherent.

*Proof.* We will prove the theorem by showing that there exists a precise assessment  $\mathcal{P}_n = (p_1, \dots, p_n)$  on  $\mathcal{F}_n$ , with  $p_1 \leq p_k \leq \dots \leq p_n \leq p_2 \leq \dots \leq p_{k-1}$ , such that the system (2) has a solution with  $I_0 = \emptyset$ , so that  $\mathcal{P}_n$  is coherent and represents the ordering  $\mathcal{O}_n$  associated with the permutation  $(1, k, \dots, n, 2, \dots, k-1)$ . For each  $i \in J_n$ , with the constituent  $C_i$  we associate the unknown  $\lambda_i$ . Moreover, we set  $\lambda_r = 0, \forall r > n$ . The system (2), with vector of unknowns  $\Lambda = (\lambda_1, \dots, \lambda_n, 0, \dots, 0)$ , is the following one

$$p_1 = \lambda_1, \quad p_i = \lambda_{i-1} + \lambda_i, \quad i = 2, \dots, n; \quad \sum_{r \in J_n} \lambda_r = 1; \quad \lambda_r \geq 0, \quad \forall r \in J_n.$$

Then, in order the inequalities  $p_1 \leq p_k \leq \dots \leq p_n \leq p_2 \leq \dots \leq p_{k-1}$  be satisfied, the following system must be solvable

$$\begin{cases} \lambda_1 \leq \lambda_{k-1} + \lambda_k \leq \dots \leq \lambda_{n-1} + \lambda_n \leq \lambda_1 + \lambda_2 \leq \dots \leq \lambda_{k-2} + \lambda_{k-1}, \\ \sum_{r \in J_n} \lambda_r = 1, \quad \lambda_r \geq 0, \quad r \in J_n. \end{cases} \quad (23)$$

We should distinguish different cases. As an example, let us assume that  $n$  is an even number and  $k$  is an odd number. Then, it must be

$$\begin{aligned} \lambda_1 &\leq \lambda_{k-1} + \lambda_k; & \lambda_{n-1} + \lambda_n &\leq \lambda_1 + \lambda_2; & \lambda_2 &\leq \lambda_4 \leq \dots \leq \lambda_{n-2} \leq \lambda_n; \\ \lambda_k &\leq \lambda_{k+2} \leq \dots \leq \lambda_{n-3} \leq \lambda_{n-1}; & \lambda_1 &\leq \lambda_3 \leq \dots \leq \lambda_{k-4} \leq \lambda_{k-2}; \end{aligned}$$

In this case, a solution of the system, with  $I_0 = \emptyset$ , is

$$\begin{aligned} \lambda_{n-1} = \lambda_n &= \frac{c}{5}, & \lambda_{k-1} = \lambda_k = \lambda_{k+2} = \dots = \lambda_{n-3} &= \frac{c}{6}, \\ \lambda_2 = \lambda_4 = \dots = \lambda_{n-2} &= \frac{c}{7}, & \frac{9c}{35} \leq \lambda_1 = \lambda_3 = \dots = \lambda_{k-2} &\leq \frac{c}{3}, \end{aligned}$$

where  $c$  is a suitable positive constant such that  $\sum_{r=1}^n \lambda_r = 1$ .

By a similar reasoning we can examine the other cases.  $\square$

Notice that Theorem (18) holds also for a "strict" ordering (where each inequality  $\leq$  is replaced by  $<$ ). But, Theorem (18) doesn't hold when, in the strict ordering, the term  $P(E_1|H_1)$  is not the first one), as shown in the next example.

**Example 2.** Let  $F = \{E_1|H_1, E_2|H_2, E_3|H_3\}$  be a family of three conditional events such that there exist the constituents

$$C_1 = E_1H_1E_2H_2E_3^cH_3, \quad C_2 = E_1^cH_1E_2H_2E_3H_3, \quad C_3 = E_1^cH_1E_2^cH_2E_3H_3.$$

Consider the ordering  $P(E_3|H_3) \leq P(E_2|H_2) \leq P(E_1|H_1)$ . The associated system is

$$\begin{cases} \lambda_2 + \lambda_3 \leq \lambda_1 + \lambda_2, \\ \lambda_1 + \lambda_2 \leq \lambda_1, \\ \lambda_1 + \lambda_2 + \lambda_3 = 1, \quad \lambda_r \geq 0, \quad r = 1, 2, 3. \end{cases}$$

Each solution  $(\lambda_1, \lambda_2, \lambda_3)$  of the system is such that  $\lambda_2 = 0$ ,  $\lambda_3 \leq \lambda_1$ , and hence it must be  $p_3 = \lambda_3 \leq p_1 = p_2 = \lambda_1$ , so that the ordering  $P(E_3|H_3) < P(E_2|H_2) < P(E_1|H_1)$  is not coherent.

## 5 Conclusions

In this paper we have considered some qualitative and numerical, upper or interval-valued, conditional probability assessments, defined on finite families of conditional events. In the numerical case, we have examined some logical conditions which ensure the solvability of suitable linear systems. Such linear systems are used in the algorithm which check the g-coherence of the conditional probability bounds. In the qualitative case, we have examined some logical conditions which are sufficient for the existence of a precise probability agreeing with the qualitative ordering on the given conditional events.

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